

12/28/01

J1064 U.S. PTO

01-03-02

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Please type a plus sign (+) inside this box → ☐Approved for use through 10/31/2002. OMB 0651-0032  
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE  
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.UTILITY  
PATENT APPLICATION  
TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. 1174/1172  
First Inventor Neal J. Middelberg  
Title See 1 in Addendum  
Express Mail Label No. EL 863577613 USJ1036 U.S. PTO  
10/034853

12/28/01

## APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1. ☒ Fee Transmittal Form (e.g., PTO/SB/17)  
(Submit an original and a duplicate for fee processing)  
Applicant claims small entity status.  
See 37 CFR 1.27.
2. ☐ Specification (Total Pages 59)  
(preferred arrangement set forth below)  
- Descriptive title of the invention  
- Cross Reference to Related Applications  
- Statement Regarding Fed sponsored R & D  
- Reference to sequence listing, a table,  
or a computer program listing appendix  
- Background of the Invention  
- Brief Summary of the Invention  
- Brief Description of the Drawings (if filed)  
- Detailed Description  
- Claim(s)  
- Abstract of the Disclosure
3. ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets 18]  
4. ☒ Oath or Declaration [Total Pages 4]  
a. ☐ Newly executed (original or copy)  
b. ☐ Copy from a prior application (37 CFR 1.63 (d))  
(for continuation/divisional with Box 18 completed)  
i. ☐ DELETION OF INVENTOR(S)  
Signed statement attached deleting inventor(s)  
named in the prior application, see 37 CFR  
1.63(d)(2) and 1.33(b).
6. ☐ Application Data Sheet. See 37 CFR 1.76

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Box Patent Application  
Washington, DC 20231

7. ☐ CD-ROM or CD-R in duplicate, large table or  
Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission  
(if applicable, all necessary)  
a. ☐ Computer Readable Form (CRF)  
b. Specification Sequence Listing on:  
i. ☐ CD-ROM or CD-R (2 copies); or  
ii. ☐ paper  
c. ☐ Statements verifying identity of above copies

## ACCOMPANYING APPLICATION PARTS

9. ☐ Assignment Papers (cover sheet & document(s))  
37 CFR 3.73(b) Statement of Power of Attorney  
(when there is an assignee)
10. ☐ English Translation Document (if applicable)  
Information Disclosure Statement (IDS)/PTO-1449  
Copies of IDS Citations
11. ☐ Preliminary Amendment
12. ☒ Return Receipt Postcard (MPEP 503)  
(Should be specifically itemized)
13. ☐ Certified Copy of Priority Document(s)  
(if foreign priority is claimed)
14. ☐ Nonpublication Request under 35 U.S.C. 122  
(b)(2)(B)(i). Applicant must attach form PTO/SB/35  
or its equivalent.
15. ☐ Other

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No. \_\_\_\_\_/\_\_\_\_\_

Prior application information:

Examiner \_\_\_\_\_

Group Art Unit: \_\_\_\_\_

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion inadvertently omitted from the submitted application parts.

## 19. CORRESPONDENCE ADDRESS

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Address  
City State Zip Code  
Country Telephone FaxName (Print/Type) David P. Gloekler Registration No. (Attorney/Agent) 41,037  
Signature David P. Gloekler Date 12/28/01

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December 28, 2001

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Date of Deposit December 28, 2001

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. 1.10 on the date indicated above and is addressed to the Commissioner of Patent and Trademarks, Washington, D.C.

Paige E. Snyder

*Paige E. Snyder*

Commissioner for Patents  
BOX PATENT APPLICATION  
Washington, D.C. 20231

Re: U.S. Patent Application for APPARATUS AND METHOD FOR  
COLLECTING FLAT AND LETTER UNITS  
Our File No. 1174/117/2

Sir:

Please find enclosed the following:

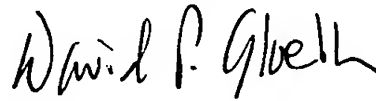
1. A U.S. patent application for APPARATUS AND METHOD FOR COLLECTING FLAT AND LETTER UNITS (59 pages);
2. Eighteen (18) sheets of formal drawings;
3. Unexecuted Declaration (4 pages);
4. Utility Patent Application Transmittal Form (Form PTO/SB/05, 2 pages);
5. A return-receipt postcard to be returned to our offices with the U.S. Patent and Trademark Office date stamp thereon; and
6. A Certificate of Express Mail No.: EL 863 577 613 US.

Commissioner for Patents  
December 28, 2001  
Page 2

Please contact our offices if there are any questions.

Respectfully submitted,

JENKINS & WILSON, P.A.



David P. Gloekler  
Registration No. 41,037  
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25297

PATENT TRADEMARK OFFICE

1174/117/2 DPG/bss/pes

Enclosures

## Addendum

### 1. APPARATUS AND METHOD FOR COLLECTING FLAT AND LETTER UNITS

1. The apparatus for collecting flat and letter units consists of a flat surface, a letter unit, and a method for collecting them.